

## Lecture 4: More on Countable Sets

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## 1 Topics for this lecture

In this lecture, we shall talk about the following

1. Operations on the countable set.
2. Notations.
3. Definitions.
4. Schröder-Bernstein Theorem.

## 2 Operations on countable set

### 2.1 Union on countable sets

**Theorem 2.1** *Union of finitely many countable sets is countable.*

*Proof. Base Case (Two Countable Sets):* Let  $A$  and  $B$  be two countable sets. This means there exist bijections  $f : \mathbb{N} \rightarrow A$  and  $g : \mathbb{N} \rightarrow B$ .

Consider the union  $A \cup B$ . Define a function  $h : \mathbb{N} \rightarrow A \cup B$  as follows:

$$h(x) = \begin{cases} f\left(\frac{x}{2}\right) & \text{if } x \text{ is even} \\ g\left(\frac{x+1}{2}\right) & \text{if } x \text{ is odd} \end{cases}$$

This function  $h$  is a bijection from  $\mathbb{N}$  to  $A \cup B$ . Therefore, the union of two countable sets is countable.

**Inductive Step (Finitely Many Countable Sets):** Assume that the union of  $n$  countable sets is countable for some positive integer  $n$ . That is, if  $A_1, A_2, \dots, A_n$  are countable sets, then  $A_1 \cup A_2 \cup \dots \cup A_n$  is countable.

Now, consider  $n + 1$  countable sets:  $A_1, A_2, \dots, A_n, A_{n+1}$ . By the inductive assumption, the union  $A_1 \cup A_2 \cup \dots \cup A_n$  is countable.

Applying the base case result to this countable set and  $A_{n+1}$ , we can conclude that  $(A_1 \cup A_2 \cup \dots \cup A_n) \cup A_{n+1}$  is countable.

By mathematical induction, we have shown that the union of finitely many countable sets is countable. □

**Theorem 2.2** *Union of countably many countable sets is also countable.*

*Proof.* Let  $\{A_n : n \in \mathbb{N}\}$  be a countable collection of countable sets. Let  $A = \cup_{n \in \mathbb{N}} A_n$ . To show that  $A$  is countable, let  $A_n$ 's be pairwise disjoint.

Now, we have that each  $A_n$  can be written as:  $\{a_{n1}, a_{n2}, a_{n3}, \dots\}$ , that is  $A_n = \{a_{nk}\}_{k \in \mathbb{N}}$ . then we have the following arrangement for the members of  $A$ :

$$\begin{array}{cccc} a_{11} & a_{12} & a_{13} & \cdots \\ a_{21} & a_{22} & a_{23} & \cdots \\ a_{31} & a_{32} & a_{33} & \cdots \\ \cdots & \cdots & \cdots & \cdots \end{array}$$

Now, we traverse the grid diagonally, listing each element:

$$a_{11}, a_{21}, a_{12}, a_{31}, a_{22}, a_{13}, \dots$$

. This enumeration gives a bijection between  $\mathbb{N}$  and  $A$ . So  $A$  is countable. □

## 2.2 Product of countable sets

**Theorem 2.3** *Let  $A$  and  $B$  be two countable sets, then  $A \times B$  is countable.*

*Proof.* Let  $A = \{a_1, a_2, \dots\}$  and  $B = \{b_1, b_2, \dots\}$ , then we can list all the rational numbers in a grid as follows:-

$$\begin{array}{cccc} (a_1, b_1) & (a_1, b_2) & (a_1, b_3) & \cdots \\ (a_2, b_1) & (a_2, b_2) & (a_2, b_3) & \cdots \\ (a_3, b_1) & (a_3, b_2) & (a_3, b_3) & \cdots \\ \cdots & \cdots & \cdots & \cdots \end{array}$$

Now, we traverse the grid diagonally, listing each element:

$$(a_1, b_1), (a_2, b_1), (a_1, b_2), (a_3, b_1), (a_2, b_2), (a_1, b_3), \dots$$

this enumeration gives a bijection between  $\mathbb{N}$  and  $(A \times B)$ . So,  $(A \times B)$  is countable. □

**Theorem 2.4** *Let  $A_1, A_2, A_3, \dots, A_n$  be  $n$  countable sets, where  $n \geq 1$ , then  $A_1 \times A_2 \times A_3 \times \dots \times A_n$  is also countable.*

*Proof.* The proof will be done by using induction on  $n$ .

**Base Case:-** when  $n = 2$ , using the theorem 2.3 we can prove that  $A_1 \times A_2$  is also countable.

**Inductive Hypothesis:-** Let us assume that this theorem is true for any  $n-1$  countable sets, i.e.,  $A_1, A_2, A_3, \dots, A_{n-1}$  be  $n-1$  countable sets, where  $n \geq 2$ , then  $A_1 \times A_2 \times A_3 \times \dots \times A_{n-1}$  is also countable.

**Induction Step:-** Let  $A_1 = \{a_{11}, a_{12}, \dots\}$ ,  $A_2 = \{a_{21}, a_{22}, \dots\}$ ,  $\dots$ ,  $A_n = \{a_{n1}, a_{n2}, \dots\}$  then we can list all the rational numbers in a  $n$ -dimensional grid. By applying the diagonalization argument we can say that there is a bijection between  $\mathbb{N}$  and  $A_1 \times A_2 \times \dots \times A_n$ . So,  $A_1 \times A_2 \times \dots \times A_n$  is countable. □

Let  $A_1, A_2, A_3, \dots$  be a countable collection of countable sets. then the natural question comes into that what can we say about  $\prod_{n \in \mathbf{N}} A_n$  i.e., the countable product of countable sets?

Let us take an example. Consider the set  $A = \{0, 1\}$  and also let  $B = \prod_{n \in \mathbf{N}} A_n$  where  $A_n = A$  for all  $n$ .

**Lemma 2.5** *B is not a countable set.*

*Proof.* We will prove it by contradiction. Suppose B is countable. Then  $B = \{b_1, b_2, b_3, \dots\}$ . Now, each  $b_i$  is a sequence of 0's and 1's then  $b_i = (b_{i1}, b_{i2}, b_{i3}, \dots)$ , where  $b_{ij} \in \{0, 1\}$  for all  $j \in \mathbf{N}$ .

Let  $c$  be a sequence of 0's and 1's defined as follows:-

$$c = \begin{cases} 0, & b_{ii} = 1 \\ 1, & b_{ii} = 0, i \in \mathbf{N} \end{cases}$$

Then,  $c \neq b_i$  for any  $i \in \mathbf{N}$ . Thus,  $c \notin B$  is a contradiction. Hence, our assumption that B is countable cannot be true. Hence, B is uncountable.  $\square$

#### Diagonalization argument

A set S is called **COUNTABLY INFINITE** if there is a bijection between S and  $\mathbf{N}$ . That is, you can label the elements of S 1, 2, . . . so that each positive integer is used exactly once as a label. In the year 1895, **Georg Cantor** proved this fact by showing that the set of real numbers is not countable, which is famously known as **"Diagonalization argument"**. In the lemma 2.5 we use this argument.

Time for a little exercise

**Exercise** Prove that **R** is uncountable.

**Before proceeding let us fix some notations.**

Notations:-

1. When we have  $\prod_{n \in \mathbf{N}} A_n$ , where  $A_n = A$  for all  $n$ , we denote  $\prod_{n \in \mathbf{N}} A_n$  by  $A^{\mathbf{N}}$ .
2.  $X^Y$  denotes the set of all functions from Y to X.
3. Any tuple over A, which is countable in size can be represented by a function  $f: \mathbf{N} \rightarrow A$ .
4. Above mention is also held for any indexing set I. If we consider the collection  $\{A_i : i \in I\}$ ,  $A_i = A$  for all  $i \in I$ ,  $\prod_{i \in I} A_i$  is also given by  $A^I$ .
  - Example:-  $A = \{0, 1\}$  and indexing set  $I = \mathbf{N}$ , then  $\prod_{n \in \mathbf{N}} A_n$ ,  $A_i = A$  for all  $i \in \mathbf{I}$  is also given by  $2^{\mathbf{N}}$  which is an uncountable.

**Exercise** Prove that there is a bijection between  $2^{\mathbb{N}}$  and  $\mathbb{R}$  is uncountable.

### 3 Definition:-

1. **Cardinality:-** Let  $A$  be any set. We denote cardinality of  $A$  by  $|A|$ . For example:-
  - (a)  $|\mathbb{N}|$  denote the cardinality of  $\mathbb{N}$ .
  - (b)  $\aleph$  denote the cardinality of  $\mathbb{R}$ .
  - (c) Let  $|\mathbb{N}| = \aleph_0$  and  $|\mathbb{R}| = c$ , then we have  $2^{\aleph_0} = c$
2. If there is an injection from set  $A$  to set  $B$ , then we denote it by:  $|A| \geq |B|$ .
3.  $<$  denotes the strict order.

**Proposition 3.1 Schröder-Bernstein Theorem:** *If there exist injective functions  $f : A \rightarrow B$  and  $g : B \rightarrow A$ , then there exists a bijective function  $h : A \rightarrow B$ .*

*Proof. Injection from  $A$  to  $B$ :*  $f : A \rightarrow B$  is injective, meaning that for any distinct elements  $x_1, x_2 \in A$ ,  $f(x_1) \neq f(x_2)$ .

*Injection from  $B$  to  $A$ :*  $g : B \rightarrow A$  is injective, meaning that for any distinct elements  $y_1, y_2 \in B$ ,  $g(y_1) \neq g(y_2)$ .

*Construction of Bijection:* Consider the composition  $g \circ f : A \rightarrow A$  and  $f \circ g : B \rightarrow B$ . Since these compositions are injective, they are also surjective because they have the same cardinality as the domain and codomain.

*Bijections and the Inverse:* Because  $g \circ f : A \rightarrow A$  is surjective, it has an inverse  $h : A \rightarrow B$  such that  $h \circ (g \circ f) = \text{Id}_A$ , where  $\text{Id}_A$  is the identity function on  $A$ . Similarly, because  $f \circ g : B \rightarrow B$  is surjective, it has an inverse  $k : B \rightarrow A$  such that  $k \circ (f \circ g) = \text{Id}_B$ .

*Establishing the Bijection:* Define  $h : A \rightarrow B$  by  $h = g \circ f$ . Then  $h$  is a bijection because:

- *Injectivity:*  $h$  is injective because  $g$  and  $f$  are injective, and the composition of injective functions is injective.
- *Surjectivity:*  $h$  is surjective because  $g$  and  $f$  are surjective, and the composition of surjective functions is surjective.

This completes the proof. □