

## Lecture 6

The use / abuse of the symbol  $\models$

$M \models \varphi$   
(satisfies)

$\Gamma \models \varphi$   
(entails)

### Models and Theories

- Given any formula  $\varphi$ , define  $\text{Mod}(\varphi)$   
 $= \{M : M \models \varphi\}$ . Given a set of formulas  $\Gamma$ , define  $\text{Mod}(\Gamma) = \{M : M \models \Gamma\}$ .

- Let  $\mathcal{K}$  be a class of models. The theory of  $\mathcal{K}$ , denoted by  $\text{Th}(\mathcal{K}) = \{\varphi : M \models \varphi \text{ for all models } M \text{ in } \mathcal{K}\}$ .

1. Let  $\Gamma_1, \Gamma_2$  be two sets of formulas s.t.  $\Gamma_1 \subseteq \Gamma_2$ . Then:  $\text{Mod}(\Gamma_1) \supseteq \text{Mod}(\Gamma_2)$ .

2. Let  $K_1, K_2$  be two classes of models  
s.t.  $K_1 \subseteq K_2$ . Then:  $Th(K_1) \supseteq Th(K_2)$ .

Consequence of a set of formulas.

Let  $\Gamma$  be a set of formulas. Then  
consequence of  $\Gamma$ , denoted by  $Con(\Gamma)$ ,  
is defined as  $Con(\Gamma) = Th(Mod(\Gamma))$ .

Proposition: Let  $\Gamma$  be a set of formulas  
and  $\varphi$  be a formula. Then:  $\varphi \in Con(\Gamma)$   
iff  $\Gamma \models \varphi$ .

H.W. Prove this proposition.

H.W. Prove the following properties of  $Con(\Gamma)$ .

①  $\Gamma \subseteq Con(\Gamma)$

② if  $\Gamma_1 \subseteq \Gamma_2$ , then  $Con(\Gamma_1) \subseteq Con(\Gamma_2)$ .

③  $Con(Con(\Gamma)) = Con(\Gamma)$ .

What does it mean to say that  $\Gamma \not\models \varphi$ ?

$\Gamma \not\models \varphi$  iff there is a model  $M$ , s.t.  $M \models \Gamma$  and  $M \not\models \varphi$ .

iff there is a model  $M$ , s.t.  $M \models \Gamma$  and  $M \models \neg \varphi$ .

iff there is a model  $M$ , s.t.  $M \models \Gamma \cup \{\neg \varphi\}$ .

iff  $\Gamma \cup \{\neg \varphi\}$  is satisfiable.

## More on satisfiability

Let  $\Gamma$  be a set of formulas and  $\varphi$  be a formula.

-  $\varphi$  is satisfiable if there is a model of  $\varphi$

-  $\Gamma$  is satisfiable if there is a model of  $\Gamma$

## Example

Consider an f.o.-language  $L$  with relation symbols:

$p_1^1, p_2^1, p_3^1, \dots$

1.  $\Gamma = \{p_1^1 x\}$ . Is  $\Gamma$  satisfiable?

To answer in the affirmative, we have to find a model  $(D, I, \gamma)$  s.t.  $(D, I, \gamma) \models p_1^1 x$ .

①  $D = \{a, b\}$ .  $I(p_1^1) = \{a\}$ ,  $\gamma: V \rightarrow D: \gamma(y) = a$  for all  $y$ .

Then,  $(D, I, \gamma) \models p'_i x$ , as  $\gamma(x) \in I(p'_i)$ .

Thus,  $\Gamma$  is indeed satisfiable.

2.  $\Gamma = \{p'_1 x, \neg p'_1 x\}$  Is  $\Gamma$  satisfiable?

$\Gamma$  is unsatisfiable.

3.  $\Gamma = \{p'_1 x, p'_2 x, \neg(p'_1 x \wedge p'_2 x)\}$

$\Gamma$  is unsatisfiable.

4.  $\Gamma = \{p'_1 x, p'_2 x, p'_3 x, \neg(p'_1 x \wedge p'_2 x \wedge p'_3 x)\}$

$\Gamma$  is unsatisfiable.

Similarly, we can find unsatisfiable sets of formulas of any finite size  $k$  with  $k \geq 2$ .

What about infinite sets of formulas?

In the same way, if a set has any of the above finite collections of formulas.

Now, suppose  $\Gamma$  is an infinite set of formulas s.t. all finite subsets of  $\Gamma$  are satisfiable.



What happens in this case?

Compactness Theorem of F.O.L.

Let  $\Gamma$  be an infinite set of formulas.  
Then,  $\Gamma$  is satisfiable iff every finite subset of  $\Gamma$  is satisfiable.

Non-trivial part: If  $\Gamma$  is finitely satisfiable (fin-sat), then  $\Gamma$  is satisfiable (sat).

How does this result connect with the consequence relation?

① If  $\Gamma$  is fin-sat, then  $\Gamma$  is sat.

② If  $\Gamma \not\models \varphi$ , then there is a finite subset  $\Gamma_f$  of  $\Gamma$ , s.t.  $\Gamma_f \not\models \varphi$ .

Result: ① iff ②

Proof: ②  $\Rightarrow$  ①: Let  $\Gamma$  be fin-sat. To show that  $\Gamma$  is sat. Suppose not. Then,  $\Gamma \not\models \varphi$  for all formulas  $\varphi$ . Then, there is a formula  $\varphi$ ,

say, s.t.  $\Gamma \models \psi$  and  $\Gamma \models \neg\psi$ . So, there are:

$$\left. \begin{array}{l} - \Gamma_1 \subseteq_{\text{fin}} \Gamma \text{ s.t. } \Gamma_1 \models \psi \\ - \Gamma_2 \subseteq_{\text{fin}} \Gamma \text{ s.t. } \Gamma_2 \models \neg\psi \end{array} \right\} \text{ by } \textcircled{2}$$

So,  $\Gamma_1 \cup \Gamma_2 \models \psi \wedge \neg\psi$ . Thus,  $\Gamma_1 \cup \Gamma_2 \subseteq_{\text{fin}} \Gamma$  and  $\Gamma_1 \cup \Gamma_2$  is not satisfiable, a contradiction. Hence, the result

$\textcircled{1} \Rightarrow \textcircled{2}$ : Let  $\Gamma \models \varphi$ . To show that there is  $\Gamma_f \subseteq_{\text{fin}} \Gamma$  s.t.  $\Gamma_f \models \varphi$ . Suppose not. So, for all  $\Gamma_f \subseteq_{\text{fin}} \Gamma$ ,  $\Gamma_f \not\models \varphi$ . So, for all  $\Gamma_f \subseteq_{\text{fin}} \Gamma$ ,  $\Gamma_f \cup \{\neg\varphi\}$  is sat. Then, by  $\textcircled{1}$   $\Gamma \cup \{\neg\varphi\}$  is sat. Then,  $\Gamma \not\models \varphi$ , a contradiction. Hence, the result. This completes the proof.  $\square$

## More applications of Compactness Theorem.

1. Let  $\Sigma$  be a set of sentences having arbitrarily large finite models. Then,  $\Sigma$  has an infinite model.

Proof: Let  $D = \{d_1, d_2, \dots\}$  be a countable collection of **new** constant symbols not occurring in  $\Sigma$ . Consider  $\Delta = \Sigma \cup \{\neg(d_i = d_j) \mid i, j \in \mathbb{N}, i \neq j\}$ .

Now,  $\Sigma$  is satisfiable. So,  $\Sigma$  is finitely satisfiable. Take any finite subset of  $\{\neg(d_i = d_j) \mid i, j \in \mathbb{N}, i \neq j\}$ . Such a finite subset will be satisfiable in some model of  $\Sigma$ . So, we have that  $\Delta$  is finitely satisfiable. So, by compactness theorem,  $\Delta$  is satisfiable. But, a model of  $\Delta$  will contain infinitely many elements. Now,  $\Sigma \subseteq \Delta$ . So, any model of  $\Delta$  is also a model of  $\Sigma$ . Thus,  $\Sigma$  has an infinite model. This completes the proof.  $\square$