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Proposition: Let L be an f.o.-language, and A be an L -structure. Let R be an n -ary relation on D_A such that R is definable in L . Let h be an automorphism on A . Then, $(a_1, \dots, a_n) \in R$ iff $(h(a_1), \dots, h(a_n)) \in R$.

Proof: Let $\varphi(x_1, \dots, x_n)$ be a formula that defines R in A . Then we have: $(a_1, \dots, a_n) \in R$ iff $A[x_1 \rightarrow a_1, \dots, x_n \rightarrow a_n] \models \varphi$.

iff $A[x_1 \rightarrow h(a_1), \dots, x_n \rightarrow h(a_n)] \models \varphi$ (by the result above)

iff $(h(a_1), \dots, h(a_n)) \in R$. This completes

the proof.

H.W. Using this result show that $\{b\}$ is not definable in the example on graphs given earlier.

Another example

Consider the structure $(\mathbb{R}, <)$. Of course, $\mathbb{N} \subseteq \mathbb{R}$. We show that $\mathbb{N}$ is not definable in $\mathbb{R}$, given the language L having a binary relation symbol p , say whose interpretation in $\mathbb{R}$ is given by $<$. We can show this by using an automorphism

on $\mathbb{R}$: $h(x) = x^3$. Since h is automorphism, had $\mathbb{N}$ been definable, we should have :
 $x \in \mathbb{N}$ iff $h(x) \in \mathbb{N}$. But, there are elements outside $\mathbb{N}$, which get mapped in $\mathbb{N}$. Thus, $\mathbb{N}$ is not definable in $(\mathbb{R}, <)$.

Ull now, we have focussed on fo-language and expressivity. We will come back to these concepts, but for now let us dive into the other important aspect of any logic, that is 'reasoning' in a given language.

(Semantic) Consequence relation

Γ : set of formulas

ϕ : a formula

We say that ϕ is a semantic consequence of Γ ($\Gamma \models \phi$) if for all models M , $M \models \gamma$ for all $\gamma \in \Gamma$ imply $M \models \phi$.

$M \models \Gamma$

Example

$$\Gamma = \{ p_x \rightarrow q_x, q_x \rightarrow r_x \}$$

$$\varphi := p_x \rightarrow r_x$$

To show: $\Gamma \models \varphi$.

We need to show that: for all models M , if $M \models \Gamma$, then $M \models \varphi$.

Take any model $M: (D, I, \models)$. Suppose $M \models \Gamma$, that is, $M \models p_x \rightarrow q_x$ and $M \models q_x \rightarrow r_x$.

To show: $M \models p_x \rightarrow r_x$.

Suppose $M \models p_x$. Then, to show $M \models r_x$.

Since $M \models p_x$, $M \models q_x$ (as, $M \models p_x \rightarrow q_x$).

Then, $M \models r_x$ (as, $M \models q_x \rightarrow r_x$).

This completes the proof.

Now, suppose $\Gamma = \emptyset$. Then, $\Gamma \models \varphi$ means $\emptyset \models \varphi$ (we write $\models \varphi$), which basically says,

' φ is satisfied by all models'.

- A formula φ is said to be **valid** if for all models M , $M \models \varphi$.

- A formula φ is said to be **satisfiable** if there is a model M , such that, $M \models \varphi$.

Example on truth, validity, satisfiability.

Consider an fo-language L with $\mathcal{L} = \{ \} , \{ \} = \{ \} , \mathcal{P} = \{ p_1^2 \}$. Consider the structures (D, I_k) , where, D is a non-empty set and I_k for $k=1,2,3$ be given by :

$$I_1(p_1^2) = D \times D \quad \xleftrightarrow[\text{formula}]{\text{true}} \quad \varphi_1 := \forall x \forall y p_1^2 xy$$

$$I_2(p_1^2) = \emptyset \quad \xleftrightarrow[\text{formula}]{\text{true}} \quad \varphi_2 := \forall x \forall y \neg p_1^2 xy$$

$$I_3(p_1^2) = \text{transitive relation} \quad \xleftrightarrow[\text{formula}]{\text{true}} \quad \varphi_3 := \forall x \forall y \forall z ((p_1^2 xy \wedge p_1^2 yz) \rightarrow p_1^2 xz)$$

Valid and satisfiable formulas

- $\forall x \forall y (p_1^2 xy \vee \neg p_1^2 xy)$: valid
- $\forall x \forall y (p_1^2 xy \wedge \neg p_1^2 xy)$: satisfiable only in empty domain.
- $\exists x \exists y (p_1^2 xy \wedge \neg p_1^2 xy)$: unsatisfiable.

H.W.

- let $\Gamma = \{ \varphi, \neg \varphi \}$. Show that $\Gamma \models \psi$ for all formulas ψ .

- Show the following :

(a) If $\phi \in \Gamma$, then $\Gamma \models \phi$

(b) ϕ is valid iff $\neg \phi$ is not satisfiable.

(c) If $\Gamma_1 \models \phi$ and $\Gamma_1 \subseteq \Gamma_2$, then $\Gamma_2 \models \phi$.

(d) If $\Gamma \models \Delta$, and $\Delta \models \phi$, then $\Gamma \models \phi$

- $\Gamma \cup \{\phi\} \models \psi$ iff $\Gamma \models \phi \rightarrow \psi$: Prove or disprove.

- Check whether the following formulas are valid.

(a) $\forall x (px \rightarrow (qx \rightarrow px))$

(b) $\exists x px \wedge \exists x qx \rightarrow \exists x (px \wedge qx)$

(c) $\forall x (px \vee qx) \rightarrow \forall x px \vee \forall x qx$.

(d) $\exists x (px \wedge qx) \rightarrow \exists x px \wedge \exists x qx$.

(e) $\forall x px \vee \forall y py \rightarrow \forall x (px \vee qx)$

(f) $\forall x (px \rightarrow qx) \rightarrow (\forall x px \rightarrow \forall x qx)$

(g) $\exists x (px \rightarrow qx) \rightarrow (\exists x px \rightarrow \exists x qx)$

Remark : We now have some idea regarding the interaction of syntax and semantics.

Before moving any further, let us warn

ourselves about the usage of the symbol $\models$ that we have used in describing satisfaction as well as entailment.

$$M \models \varphi$$

(satisfies)

$$\Gamma \models \varphi.$$

(entails)

Models and Theories

- Given any formula φ , define $\text{Mod}(\varphi) = \{M : M \models \varphi\}$. Given a set of formulas Γ , define $\text{Mod}(\Gamma) = \{M : M \models \Gamma\}$.
- Let K be a class of models. The theory of K , $\text{Th}(K) = \{\varphi : M \models \varphi \text{ for all } M \text{ in } K\}$.

1. Let Γ_1 and Γ_2 be two sets of formulas s.t. $\Gamma_1 \subseteq \Gamma_2$. Then, $\text{Mod}(\Gamma_1) \supseteq \text{Mod}(\Gamma_2)$.

2. Let K_1 and K_2 be two classes of models s.t. $K_1 \subseteq K_2$. Then, $\text{Th}(K_1) \supseteq \text{Th}(K_2)$

Consequence of a set of formulas.

Let Γ be a set of formulas. Then, consequence of Γ , $\text{Con}(\Gamma) = \text{Th}(\text{Mod}(\Gamma))$.

Proposition: Let Γ be a set of formulas and φ be a formula. Then, $\varphi \in \text{Con}(\Gamma)$ iff $\Gamma \models \varphi$.

H.W. Prove the proposition.

H.W. Prove the following properties of $\text{Con}(\Gamma)$.

① $\Gamma \subseteq \text{Con}(\Gamma)$

② if $\Gamma_1 \subseteq \Gamma_2$, then $\text{Con}(\Gamma_1) \subseteq \text{Con}(\Gamma_2)$

③ $\text{Con}(\text{Con}(\Gamma)) = \text{Con}(\Gamma)$.

What does it mean to say that $\Gamma \not\models \varphi$?

$\Gamma \not\models \varphi$ iff there is a model M , s.t. $M \models \Gamma$ but $M \not\models \varphi$.

iff there is a model M , s.t. $M \models \Gamma$ and $M \models \neg \varphi$

iff there is a model M , s.t. $M \models \Gamma \cup \{\neg \varphi\}$

iff $\Gamma \cup \{\neg \varphi\}$ is satisfiable.

More on satisfiability

Let Γ be a set of formulas, φ be a formula.

- φ is sat if there is a model of φ .
- Γ is sat if there is a model of Γ .

Example

Consider an FO-language $\mathcal{L}$ with unary relation symbols $p'_1, p'_2, p'_3, \dots$.

1. $\Gamma = \{p'_1 x\}$. Is Γ sat?

We have to find a model $(D, I, \mathcal{I})$ s.t. $(D, I, \mathcal{I}) \models p'_1 x$

$D = \{a, b\}$. $I(p'_1) = \{a\}$, $\mathcal{I}: \mathcal{V} \rightarrow D : \mathcal{I}(y) = a$ for all y .

Then, $(D, I, \mathcal{I}) \models p'_1 x$ as $\mathcal{I}(x) \in I(p'_1)$.

Thus, Γ is satisfiable.

2. $\Gamma = \{p'_1 x, \neg p'_1 x\}$. Is Γ sat?

Γ is unsatisfiable.

3. $\Gamma = \{p'_1 x, p'_2 x, \neg(p'_1 x \wedge p'_2 x)\}$. Is Γ sat?

Γ is unsatisfiable.

4. $\Gamma = \{p'_1 x, p'_2 x, p'_3 x, \neg(p'_1 x \wedge p'_2 x \wedge p'_3 x)\}$. Is Γ sat?

Γ is unsatisfiable.

Similarly, we can find unsatisfiable sets of formulas of any finite size k with $k \geq 2$.

What about infinite sets of formulas?

In the same way, if a set has any of

the above finite collections of formulas.

What about an infinite set Γ of formulas s.t. all finite subsets of Γ are sat?

Compactness Theorem of FOL

Let Γ be an infinite set of formulas. Then, Γ is sat iff every finite subset of Γ is sat (Γ is fin-sat).

Non-trivial part: If Γ is fin-sat, then Γ is sat.

How does this result connect with the consequence relation?

① If Γ is fin-sat then Γ is sat.

② If $\Gamma \not\models \phi$, then there is a finite subset Γ_{fin} of Γ s.t. $\Gamma_{\text{fin}} \not\models \phi$.

Proposition: ① iff ②

Proof: ② $\Rightarrow$ ①: Let Γ be fin-sat. To show that Γ is sat. Suppose not. Then, $\Gamma \not\models \phi$ for all formulas ϕ . Then there is a formula ψ , say, s.t. $\Gamma \models \psi$ and $\Gamma \not\models \neg \psi$. Then, there are $\Gamma_1, \Gamma_2 \subseteq_{\text{fin}} \Gamma$ s.t. $\Gamma_1 \models \psi$ and $\Gamma_2 \not\models \neg \psi$. (by ②). So,

$\Gamma_1 \cup \Gamma_2 \models \psi \wedge \neg \psi$ and $\Gamma_1 \cup \Gamma_2 \subseteq_{\text{fin}} \Gamma$. Thus, $\Gamma_1 \cup \Gamma_2$ is unsatisfiable. This contradicts the assumption that Γ is fin-sat. This completes the proof.

① $\Rightarrow$ ② : Let $\Gamma \models \phi$. To show that there is $\Gamma_f \subseteq_{\text{fin}} \Gamma$ s.t. $\Gamma_f \models \phi$. Suppose not. So, for all $\Gamma' \subseteq_{\text{fin}} \Gamma$, $\Gamma' \not\models \phi$. So, for all $\Gamma' \subseteq_{\text{fin}} \Gamma$, $\Gamma' \cup \{\neg \phi\}$ is sat. So, by ① $\Gamma \cup \{\neg \phi\}$ is sat. Then, $\Gamma \not\models \phi$, a contradiction. This completes the proof.

We will now get into providing a proof of compactness theorem in the next page.



Non-trivial part of Compactness Theorem

- Let Γ be an infinite set of formulas.
If Γ is fin-sat then Γ is sat.

Proof : Every finite subset of Γ is satisfiable. To show : Γ is satisfiable

To show Γ is sat, we have to show that Γ has a model. So, we need to construct a model $(\mathcal{D}, \mathcal{I}, \mathcal{I}_f)$ s.t.

$$(\mathcal{D}, \mathcal{I}, \mathcal{I}_f) \models \Gamma \quad ((\mathcal{D}, \mathcal{I}, \mathcal{I}_f) \models \varphi \text{ for all } \varphi \in \Gamma)$$

Let us first ask the following question:

What properties should Γ have for it to have a model? To answer this question,

let us now look at a set of formulas which is satisfied by a model.

Let $\mathcal{M}$ be a model and let $\Delta_{\mathcal{M}} = \{\varphi \mid \mathcal{M} \models \varphi\}$

What properties do $\Delta_{\mathcal{M}}$ have?

1. For any formula φ ,
 - (a) $\Delta_{\mathcal{M}}$ contains φ or $\neg\varphi$.
 - (b) $\Delta_{\mathcal{M}}$ does not contain both.

(could.)

2. For any formulas ϕ and ψ ,

(a) $\phi \vee \psi \in \Delta_M$ iff $\phi \in \Delta_M$ or $\psi \in \Delta_M$

(b) $\phi \wedge \psi \in \Delta_M$ iff $\phi \in \Delta_M$ and $\psi \in \Delta_M$

(c) $\phi \rightarrow \psi \in \Delta_M$ iff $\psi \in \Delta_M$ whenever $\phi \in \Delta_M$

(d) $\phi \leftrightarrow \psi \in \Delta_M$ iff $\phi \in \Delta_M$ iff $\psi \in \Delta_M$

- A set of formulas satisfying conditions 1 and 2 is said to be a model set.

- A set of formulas Δ is complete if for any formula ϕ , $\phi \in \Delta$ or $\neg \phi \in \Delta$.

We will try to get a model of the fin-sat set Γ that we started with by the following 3 claims:

Claim 1: Every fin-sat set of formulas can be extended to a fin-sat and complete set of formulas.

Claim 2: Every fin-sat and complete set of formulas is a model set

Claim 3: Every model set is satisfiable